06-19-01003 Rec'd PSTITC 178 JUN 2001 Approved for use through 10/31/2002. OMB 0651-0031 Please type a plus sign (+) inside this box -> + U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/674,191 Application Number TRANSMITTAL Filing Date October 26, 2000 **FORM** Chen, Tao et al. **First Named Inventor** (to be used for all correspondence after initial filing) Unassigned Group Art Unit Unassigned **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number 016303007010 ENCLOSURES (check all that apply) After Allowance Communication to Assignment Papers Fee Transmittal Form Group (for an Application) Appeal Communication to Board of ☐ Drawing(s) Fee Attached Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Response (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) After Final Proprietary Information and Accompanying Petition Petition to Convert to a Status Letter Affidavits/declaration(s) Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): Terminal Disclaimer -Petition for filing patent application under Express Abandonment Request 37 CFR 1.47(a) and Exhibit Request for Refund -Declaration of Jeanette McDougall -Exhibits 1, 2, and 3 Information Disclosure Statement -Executed Declaration & Power of CD, Number of CD(s) Attorney -Copy of Notice of Missing Parts -Return Postcard The Commissioner is authorized to charge any additional fees to Certified Copy of Priority Deposit Account 20-1430. Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Townsend and Townsend and Crew LLP

Reg No. 39,381

Signature

Date | Gune 18, 2001

## CERTIFICATE OF MAILING

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I hereby certify that this correspondence is being deposited with the United States Postal Service with "Express Mail Post Office to Address" service under 37 CFR 1.10 on this date June 18, 2001 and is addressed to:

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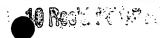
Sandy Kim

Signature

Date | June 18, 2001

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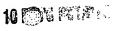
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for FY 2001	Application Number	09/674,191 October 26, 2000				
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1 8 mm, 3	First Named Inventor	Chen, Tao et al.				
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Γ	The Commissioner is notedy demonitored to every						FEE CALCULATION (continued)			
ŀ				3. ADDITIONAL FEES						
	Deposit		Large Fee Code	Entity Fee	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid		
	Account 20-1430		105	( <b>\$</b> ) 130	205	65	Surcharge - late filing fee or oath	65		
	Number		127	50	227	25	Surcharge - late provisional filing fee or cover sheet.			
	Deposit Toward and Crow LL B			130	139	130	Non-English specification			
_	Account Townsend and Townsend and Crew LLF		147	2,520	147	2,520	For filing a request for reexamination			
·	Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17		112	920*	112	920*	Requesting publication of SIR prior to Examiner action			
	Applicant claims small entity status. See 37 CFR 1.27		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action			
Ī	2. Payment Enclosed:		115	110	215	55	Extension for reply within first month			
	☐ Check ☐ Credit card ☐ Money ☐ Other Order		116	390	216	195	Extension for reply within second month			
1			117	890	217	445	Extension for reply within third month			
}	1. BASIC FILING FEE		118	1,390	218	695	Extension for reply within fourth month			
	Large Entity Small Entity		128	1,890	228	945	Extension for reply within fifth month	945		
	Fee Fee Fee Fee Description		119	310	219	155	Notice of Appeal	<b></b>		
	Code (\$) Code (\$)	aid	120	310	220	155	Filing a brief in support of an appeal			
	101 710 201 355 Utility filing fee		121	270	221	135	Request for oral hearing			
	106 320 206 160 Design filing fee 107 490 207 245 Plant filing fee		138	1,510	138	1,510	Petition to institute a public use proceeding			
	108 710 208 355 Reissue filing fee		140	110	240	55	Petition to revive – unavoidable	<u> </u>		
	114 150 214 75 Provisional filing fee		141	1,240	241	620	Petition to revive – unintentional			
	(4)		142 143	1,240	242	620	Utility issue fee (or reissue)			
	SUBTOTAL (1) (\$)			440	243	220	Design issue fee			
	2. EXTRA CLAIM FEES		144	600	244 122	300 130	Plant issue fee Petitions to the Commissioner			
		ee aid	122 123	130 50	123	50	Petitions related to provisional applications			
	Independent 3** = X =		126	180	126	180	Submission of Information Disclosure Stmt			
	Multiple Dependent  X = =		581	40	581	40	Recording each patent assignment per property (times number of properties)			
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06/25/2001   Sale Ref: 0 01 FC:254	103 65,180 CH203 9 Claims in excess of 20		149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))			
02 FC:228	102 945.800 CH202 40 Independent claims in excess		179	710	279	355	Request for Continued Examination (RCE)			
03 FC:122	104 130.279 CH204 135 Multiple dependent claim, if n  109 80 209 40 "Reissue independent claim original patent"		169	900	169	900	Request for expedited examination of a design application			
	110 18 210 9 ** Reissue claims in excess of 20 and over original patent			Other fee (specify) Petition under 37 CFR §1.17(i)						
	SUBTOTAL (2) (\$)		The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.							
:	**or number previously paid, if greater; For Reissues, see above			*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)1140						
	or number previously paid, it greater, i or recoduces, see above									

SUBMITTED BY			Complete (if applicable)			
Name (Print/Type)	Joseph R. Snyder	Registration No. (Attorney/Agent)	39,381	Telephone	925-472-5000	
Signature	Inest R.	In —		Date	June 18, 2001	

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		UNITED STATES Patent and Trades	COMMISSIONER FOR PATENTS	

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Oath or Declaration of inventor						
Copy of Article 19 amendmen	ıts.					
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2. The following items MUST be fur	rnished within the	period set forth belo	w in order to cor	nolete the re	equirements	for
acceptance under 35 U.S.C. 371:					1	
a. Translation of the applicati			will be required	if submitte	d later than t	he
appropriate 20 or 30 months f			41	t-4 N	oden of De	Ca!
The current transla Translation.	nion is defective	for the reasons in	dicated on the	attached N	ouce of De	iective
b. Processing fee for providin 30 months from the priority d			or the Annexes	later than th	e appropriate	e 20 or
C. Oath or declaration of the ithe International application n	nventors, in complumber and interna-	liance with 37 CFR tional filing date.	1.497(a) and (b)	, identifying	the applicati	ion by
The current oath or do on the attached PCT/	declaration does no DO/EO/917.	t comply with 37 CI			••	
d. Surcharge for providing the (37 CFR 1.492(e)).	*		•			
3. Additional claim fees of \$	as a L lau ust submit the add	rge entity LI small e itional claim fees or	ntity, including a cancel the additi	nny required onal claims	multiple der for which fe	pendent es are
ALL OF THE ITEMS SET FORTI FROM THE DATE OF THIS NOT THE APPLICATION, WHICHEV ABANDONMENT.	TCE OR BY $\square$ 2	1 OR 🗷 31 MONT	HS FROM TH	E PRIORIT	Y DATE FO	OR ·
The time period set above may be ex CFR 1.136(a).	tended by filing a	petition and fee for e	xtension of time	under the p	rovisions of	37
4. Translation of the Annexes MUST Note processing fee will be required 5. The Article 19 amendments are 494(d)) or 30 (37 CFR 1.495(d)) more	if submitted later t e cancelled since a	han 30 months from translation was not	the priority date			•
Applicant is reminded that any commaddress given in the heading and incl	ude the U.S. applic	cation no. shown abo	ove. (37 CFR 1.5	5)	e mailed to tl	he
A copy of this notice	MUST be	returned wit	h this resp	onse.		
Enclosed: PCT/DO/EO/917	Notice of De	fective Translation				
□ PTO-875			Chris	stine S. W	ashington	_
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